

In the United States Patent and Trademark Office

First/Sole Applicant: David S. Terman

Joint/Second Applicant: _____

Title: "Compositions & Methods For Treatment of Neoplastic Diseases"

Small Entity Declaration—Small Business Concern

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☐ an officer of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Enerjen

Address of Concern: P.O. Box 6447
Carmel, CA 93921

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121, for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention of the above applicants and the specification filed herewith.

I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

David Terman 5-30-01
Signature of Officer of Small Business Concern Date

David Terman President/CEO
Name and Title of Officer

P.O. Box 6447
Address of Officer: Carmel CA 93921